

RECEIVED**OCT 21 2019****TOWN CLERK****TOWN OF PALM BEACH**

APPLICATION FOR SERVICE ON

INVESTMENT ADVISORY COMMITTEE

Please complete the application by typing or printing legibly in ink. Applications should be submitted to the Town Clerk (360 South County Road, Palm Beach, FL 33480, via facsimile 561-838-5417, or via e-mail addressed to townclerk@townofpalmbeach.com) no later than 5 p.m., 11 calendar days prior to the Town Council meeting in which the appointment will be considered. Completion of this application requires that applicant has reviewed certain Town documents as noted in this application. To review or obtain a copy of the documents please contact the Town Clerk's Office (561-838-5416). Please feel free to attach any additional background information to this application form.

Name: ROGER L. YASEENResidence Address: #6Mailing Address: 151 GRACE TRAILHome Telephone: 561-832-8337E-mail Address: ROGER@YASEEN.COMWork Telephone: 561-832-8337I am a resident of the Town of Palm Beach RLY (please initial) KP

Please attach proof of Town of Palm Beach residency. Failure to attach proof of residency shall be grounds to reject your application. Proof of residency shall be either a copy of your Florida Driver's License matching the address of your residence as shown on this application, a copy of a utility bill in your name at the residence address shown on this application, or by providing an original, fully executed and notarized Declaration of Domicile with this application.

1. Why do you wish to be appointed as a member of the Investment Advisory Committee? (Please use additional pages if necessary)

I have lived in Palm Beach since 1991 - full time since 2008. During this period, I have enjoyed countless advantages of

residency. I would like to pay back in my field of expertise.

2. Please identify how many meetings of the Investment Advisory Committee you have attended in the past two (2) years.

☒ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4+

3. If you have served on any boards/commissions/committees in the Town of Palm Beach or elsewhere, please list them and include details such as length of service, attendance record, etc.

None. I have never done Community Service locally. I was generally active in philanthropic work in New York, and remain an

Honorary Director of several significant organizations. I recently left the Board of the Old Guard Society of Palm Beach Golfers.

4. Please indicate if there are any periods of time for which you will be unavailable for meetings.

None.

5. Please describe your current or prior investment experience, and describe any fixed investment experience you may have. As noted below, I had 40 years as an Investment Advisor prior to moving to Palm Beach. I continue to manage extensive family funds -

full-time, hands on. I am not a Fixed Income Specialist - but am generally versed in Finance.

6. Are you a member of any of the following organizations? (Check all that apply)

- | | |
|--|--|
| <input type="checkbox"/> Palm Beach Chamber of Commerce | <input type="checkbox"/> Preservation Foundation of Palm Beach |
| <input checked="" type="checkbox"/> Palm Beach Civic Association | <input type="checkbox"/> North End Property Owners Association |
| <input type="checkbox"/> Citizens' Association of Palm Beach | <input type="checkbox"/> Other _____ |

7. Present occupation. Retired No. of years. _____

Employer. _____

8. Past occupation(s). Investment Advisor No. of years. 40

Employer(s). Morgan Stanley, Smith Barney, Wertheim

9. Please provide details regarding your educational background:

B.S. from MIT in 1957 (Tau Beta Pi)

MBA in Taxation from Stern School at NYU in 1974

10. To enable Town Council to consider potential conflicts of interest, please provide the following information regarding family members who live and/or work in the Town of Palm Beach, including your spouse, parents, siblings or children.

Family Member Name	Relationship	Address	Occupation	Employer
N/A				

11. Have you ever been convicted of a crime or pled guilty or nolo contendere to a crime other than minor traffic violations? NO

12. If you are currently serving on a Town board, commission, or committee, please identify it in the space below and check the box that indicates you will resign from that position if you are appointed to the position you are seeking in this application.

☐ I will resign from the _____ if I am appointed to the position I am seeking in this application.
board/commission/committee

The following information is needed to facilitate Town reporting requirements in Florida Statute 760.80. Please check one in each category.

RACE☐ African American☐ Native American☐ Asian American☒ Caucasian☐ Hispanic American☐ Not known**GENDER**☒ Male ☐ Female**PHYSICALLY DISABLED**☐ Yes ☒ No

I hereby certify that I have read the sections of the Town Code of Ordinances for the particular board/commission/committee that I have selected above and have noted the description of the board/commission/committee and its members' duties, and further I have read the regulations concerning absences and conflicts of interests. I certify the information given by me is true and complete to the best of my knowledge and belief. I understand that any falsification of material facts will be grounds for rejection of this application or dismissal after appointment.

SIGNATURE: DATE: Oct 21, 2019

Please attach proof of Town of Palm Beach residency (as listed on page 1) with this application.

Please note that Board/Commission applications will expire on December 15 of each year.

INVESTMENT ADVISORY COMMITTEE

The Investment Advisory Committee consists of five members serving two year terms, appointed by the Town Council. Members of the Committee shall be residents of the Town and shall have prior fixed income investment experience. The Committee acts in an advisory capacity and shall make recommendations to the Town Council on matters relating to Town's investment policy and the investment of the Town's surplus funds. The Committee shall hold at least four meetings per year and more if it is deemed necessary. The Town of Palm Beach will not do business directly or indirectly with a Committee member or a Committee member's employer or business while they are serving on the Committee and for at least two years after the member's term has expired.