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# TOWN OF PALM BEACH

## APPLICATION FOR SERVICE ON

### INVESTMENT ADVISORY COMMITTEE

Please complete the application by typing or printing legibly in ink. Applications should be submitted to the Town Clerk (360 South County Road, Palm Beach, FL 33480, via facsimile 561-838-5417, or via e-mail addressed to [townclerk@townofpalmbeach.com](mailto:townclerk@townofpalmbeach.com)) no later than 5 p.m., 11 calendar days prior to the Town Council meeting in which the appointment will be considered. Completion of this application requires that applicant has reviewed certain Town documents as noted in this application. To review or obtain a copy of the documents please contact the Town Clerk's Office (561-838-5416). Please feel free to attach any additional background information to this application form.

Name: Kathleen M Anderson

Residence Address: 2660 S Ocean Blvd

Mailing Address: 2660 S Ocean Blvd

Home Telephone: 609 915 9318

E-mail Address: KMA2658@aol.com

Work Telephone: \_\_\_\_\_

I am a resident of the Town of Palm Beach KMA (please initial)

Please attach proof of Town of Palm Beach residency. Failure to attach proof of residency shall be grounds to reject your application. Proof of residency shall be either a copy of your Florida Driver's License matching the address of your residence as shown on this application, a copy of a utility bill in your name at the residence address shown on this application, or by providing an original, fully executed and notarized Declaration of Domicile with this application.

*KMA*

1. Why do you wish to be appointed as a member of the Investment Advisory Committee? (Please use additional pages if necessary)

My style of investing throughout my 30+ year career on Wall Street is aligned with that of the IAC. Focus is on protection on the downside while still generating a return.

2. Please identify how many meetings of the Investment Advisory Committee you have attended in the past two (2) years.

0    1    2    3    4+

3. If you have served on any boards/commissions/committees in the Town of Palm Beach or elsewhere, please list them and include details such as length of service, attendance record, etc.

None

4. Please indicate if there are any periods of time for which you will be unavailable for meetings.

None

5. Please describe your current or prior investment experience, and describe any fixed investment experience you may have.

Worked as analyst/portfolio manager at Merrill Lynch / Black Rock 30+  
Focus on yield and downside protection

6. Are you a member of any of the following organizations? (Check all that apply)

- |  |  |
|--|--|
| <input type="checkbox"/> Palm Beach Chamber of Commerce      | <input type="checkbox"/> Preservation Foundation of Palm Beach |
| <input type="checkbox"/> Palm Beach Civic Association        | <input type="checkbox"/> North End Property Owners Association |
| <input type="checkbox"/> Citizens' Association of Palm Beach | <input type="checkbox"/> Other _____                           |

7. Present occupation. Retired No. of years. 24yrs

Employer. Black Rock

8. Past occupation(s). Portfolio Manager / Analyst No. of years. 30+yrs

Employer(s). Black Rock / Merrill Lynch Investment Manager

9. Please provide details regarding your educational background:

BBA in Economics & Finance Baruch College NY  
some graduate work in finance

10. To enable Town Council to consider potential conflicts of interest, please provide the following information regarding family members who live and/or work in the Town of Palm Beach, including your spouse, parents, siblings or children.

Family Member Name	Relationship	Address	Occupation	Employer

11. Have you ever been convicted of a crime or pled guilty or nolo contendere to a crime other than minor traffic violations? no

12. If you are currently serving on a Town board, commission, or committee, please identify it in the space below and check the box that indicates you will resign from that position if you are appointed to the position you are seeking in this application.

I will resign from the \_\_\_\_\_ if I am appointed to the position I am seeking in this application.  
 board/commission/committee

The following information is needed to facilitate Town reporting requirements in Florida Statute 760.80. Please check one in each category.

RACE

African American

Native American

Asian American

Caucasian

Hispanic American

Not known

GENDER

Male  Female

PHYSICALLY DISABLED

Yes  No

I hereby certify that I have read the sections of the Town Code of Ordinances for the particular board/commission/committee that I have selected above and have noted the description of the board/commission/committee and its members' duties, and further I have read the regulations concerning absences and conflicts of interests. I certify the information given by me is true and complete to the best of my knowledge and belief. I understand that any falsification of material facts will be grounds for rejection of this application or dismissal after appointment.

SIGNATURE: Kathleen M. Anderson

DATE: 4/25/18

Please attach proof of Town of Palm Beach residency (as listed on page 1) with this application.

Please note that Board/Commission applications will expire on December 15 of each year.

INVESTMENT ADVISORY COMMITTEE

The Investment Advisory Committee consists of five members serving two year terms, appointed by the Town Council. Members of the Committee shall be residents of the Town and shall have prior fixed income investment experience. The Committee acts in an advisory capacity and shall make recommendations to the Town Council on matters relating to Town's investment policy and the investment of the Town's surplus funds. The Committee shall hold at least four meetings per year and more if it is deemed necessary. The Town of Palm Beach will not do business directly or indirectly with a Committee member or a Committee member's employer or business while they are serving on the Committee and for at least two years after the member's term has expired.



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Name: Andrew S Frazier Residence Address: 242 Merrain Road

Mailing Address: same Home Telephone: 561 844 7458

E-mail Address: Frazier.as@gmail.com Work Telephone: \_\_\_\_\_

I am a resident of the Town of Palm Beach AF (please initial)

Please attach proof of Town of Palm Beach residency. Failure to attach proof of residency shall be grounds to reject your application. Proof of residency shall be either a copy of your Florida Driver's License matching the address of your residence as shown on this application, a copy of a utility bill in your name at the residence address shown on this application, or by providing an original, fully executed and notarized Declaration of Domicile with this application.

KD  
✓

1. Why do you wish to be appointed as a member of the Investment Advisory Committee? (Please use additional pages if necessary)

I have expertise in money management  
and believe that I can be of assistance  
to the Committee

2. Please identify how many meetings of the Investment Advisory Committee you have attended in the past two (2) years.

- 0    1    2    3    4+

3. If you have served on any boards/commissions/committees in the Town of Palm Beach or elsewhere, please list them and include details such as length of service, attendance record, etc.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Please indicate if there are any periods of time for which you will be unavailable for meetings.

I have difficulty attending the August meeting  
but made it last year.

5. Please describe your current or prior investment experience, and describe any fixed investment experience you may have.

Trustee: Brown Brothers Harriman Mutual Fund Group - Audit Chair  
CEO of an insurance company with \$1+ billion portfolio

6. Are you a member of any of the following organizations? (Check all that apply)

- |  |  |
|--|--|
| <input type="checkbox"/> Palm Beach Chamber of Commerce          | <input type="checkbox"/> Preservation Foundation of Palm Beach |
| <input checked="" type="checkbox"/> Palm Beach Civic Association | <input type="checkbox"/> North End Property Owners Association |
| <input type="checkbox"/> Citizens' Association of Palm Beach     | <input type="checkbox"/> Other _____                           |

7. Present occupation. retired No. of years. \_\_\_\_\_

Employer. \_\_\_\_\_

8. Past occupation(s). CEO/CEO No. of years. 28

Employer(s). Western World Insurance Group

9. Please provide details regarding your educational background:

BA - Johns Hopkins University

MBA - University of Chicago

10. To enable Town Council to consider potential conflicts of interest, please provide the following information regarding family members who live and/or work in the Town of Palm Beach, including your spouse, parents, siblings or children.

Family Member Name	Relationship	Address	Occupation	Employer
<u>Alamy Frazier</u>	<u>spouse</u>	<u>same</u>	<u>Not Employed</u>	

11. Have you ever been convicted of a crime or pled guilty or nolo contendere to a crime other than minor traffic violations? NO

12. If you are currently serving on a Town board, commission, or committee, please identify it in the space below and check the box that indicates you will resign from that position if you are appointed to the position you are seeking in this application.

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**PHYSICALLY DISABLED**

Yes  No

I hereby certify that I have read the sections of the Town Code of Ordinances for the particular board/commission/committee that I have selected above and have noted the description of the board/commission/committee and its members' duties, and further I have read the regulations concerning absences and conflicts of interests. I certify the information given by me is true and complete to the best of my knowledge and belief. I understand that any falsification of material facts will be grounds for rejection of this application or dismissal after appointment.

SIGNATURE: *Arthur S. Ferguson*

DATE: 3-2-18

*Please attach proof of Town of Palm Beach residency (as listed on page 1) with this application.*

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